ADFW
ABU DHABI FINANCE WEEK
27-30 NOV | 2023

- Venue: ADGM, Abu Dhabi, UAE
- Asset Abu Dhabi GP x LP Programme 27th Nov, 2023
- International Family Office Congress 28th Nov, 2023

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alpina capital   KraneShares™

Alpina Carbon Markets Fund - a Sustainable Investment Opportunity - As the world grapples with the urgent need to combat climate change, investing in Carbon Credits has emerged as a dynamic and socially responsible choice. Our actively managed investment strategy offers a comprehensive approach to navigating the global Carbon Credit markets, providing investors access to both mandatory and voluntary markets.

- **Diversified Investment Tools** - We understand that the Carbon Credit landscape is multifaceted. That's why our strategy employs a range of tools, from physical Carbon Credits to futures and options. This diversity ensures adaptability to market conditions and effective risk management.

- **Discretionary Long/Short Approach** - Our investment approach is not bound by convention. We embrace a discretionary long/short strategy, allowing us to respond agilely to market shifts. By going long and short, we can capture opportunities regardless of market direction.

- **Exploiting Opportunities and Market Mispricing** - We thrive on uncovering hidden gems. Our team selectively exploits arbitrage opportunities and market mispricing. This tactical approach maximizes potential returns while minimizing risk.

- **Enabling Corporations to Offset their Emissions** - In addition to offering an attractive investment opportunity, our fund provides a unique avenue for corporations to offset their emissions. Through our fund, businesses can take proactive steps towards achieving their sustainability goals by investing in Carbon Credits.

- **In collaboration with KraneShares** - We have collaborated with KraneShares, a leading investment management firm in the US, to help bring an additional layer of market expertise and resources to our strategy, ensuring our investors receive the best guidance and insights available.

Why Invest in Carbon Credit Markets?

- ESG Alignment: Investing in Carbon Credits aligns with Environmental, Social, and Governance (ESG) goals. By reducing carbon emissions, you are actively participating in the global fight against climate change.
- Market Growth: The Carbon Credit markets are experiencing rapid growth, driven by increased awareness of climate change. Investing now offers the potential for substantial returns as the world transitions to a low-carbon future.
- Alpina Capital: Our team has a proven track record of success in alternative investment strategies and sustainability-aligned funds. We have delivered value to investors by consistently identifying opportunities and managing risks effectively.
- KraneShares: Offers innovative investment solutions tailored to three key pillars: China, Climate, and Uncorrelated Assets. Our team is determined to provide industry-leading, differentiated, and high-conviction investment strategies that offer access to key market trends.

Dr. Daniel Baur has more than 20 years of experience in the financial industry. During his career he has held various positions at Schroders, Deutsche Bank Investment Banking, AIG Private / Falcon Private Bank (Deputy CIO / Head Global Asset Management), and UBS (UHNW Segment) and has been leading his own investment company in the last five years.

Furthermore, Daniel Baur is a lecturer for Corporate Finance at the Center for Urban & Real Estate Management (CUREM) of the University of Zurich. Daniel Baur has earned a PhD from the Institute of Banking and Finance of the University of Zurich. He is a CFA Charterholder and a Certified Alternative Investment Analyst (CAIA).

Dr. Daniel Baur
Group Managing Director

Dr. Gregor Gawron joined Alpina Capital in 2023. He has more than 20 years of experience in asset management. Prior to joining Alpina Capital, he was heading various portfolio management roles at RMF/Man Investments, Falcon Private Bank, Lombard Odier Asset Management, and Credit Suisse Asset Management. He was mainly responsible for heading investment teams, building up new franchises, and conducting research activities in the alternative investment space.

Gregor Gawron earned his doctorate in finance from the University of Basel in Switzerland and holds a Master of Science in Economics from University of Karlstad in Sweden.

Dr. Gregor Gawron
Managing Director

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Daniel Fricker
CEO and Founder

Daniel Fricker is the CEO and Founder of **Alpina Capital AG**, where he oversees investment solutions within a full range of asset classes, from sustainable direct investments to multi-asset funds and alternative funds.

Prior to founding Alpina Capital, Daniel spent over 17 years on the investment side, where he worked for Bank Julius Baer in the Investment Advisory and Equity Strategy. Since 2001, he worked for 14 years at Falcon Private Bank, where he had the full responsibility of managing a full range of multi-asset funds, with a proven track record.

Daniel spent more than ten years in the Asset Management division of the bank in the equity and multi-asset team. He holds a Master of Advanced Studies in Banking & Finance from the FHNW in Zurich.

Andrin Holzer
Client Services

Upon becoming part of Alpina Capital, **Andrin Holzer** took on the role of business development, with the goal of further enhancing the current business development and driving new strategies together with the CEO and Founder Daniel Fricker.

During the past years, he has worked on multiple insurance projects regarding the newly implemented reporting standards IFRS 17/9 and advised LGT Capital Partners in their first steps into sustainability and ESG commitment.

He is currently working on his master's degree in Accounting and Finance at the University of St. Gallen, where he previously finished the Bachelor of Business Administration.

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Jonathan Krane
Chief Executive Officer

Jonathan Krane is the founder and CEO of KraneShares, an asset management firm delivering China and climate-focused exchange-traded funds to global investors. He has spent the last 20 years working with companies in China. KraneShares is majority-owned by China International Capital Corporation (CICC).

Jonathan received an MBA from Columbia Business School and a BA from Connecticut College. He is on the board of Connecticut College and is a member of the Young Presidents Organization (YPO).

Jonathan is also the author of The Wall Street Journal Best Seller, The China Dream.

Jonathan Shelon
Chief Operating Officer

Jonathan Shelon, CFA, is the Chief Operating Officer at KraneShares and joined the firm in 2015. He oversees several business functions that drive efficient growth and serves on the leadership team.

Jonathan has extensive experience in managing investment portfolios and diverse teams at leading asset management organizations. Prior to Krane, Jonathan was the Chief Investment Officer of the Specialized Strategies Team at J.P. Morgan, overseeing $40 billion in AUM. Prior to joining J.P. Morgan, Jonathan was a portfolio manager at Fidelity Investments where he was responsible for $150 billion in assets for over five million shareholders in Fidelity's target date strategies, the Freedom Funds. Before Fidelity, Jonathan was a quantitative consultant in the Capital Markets Research Group of Callan Associates where he developed strategic investment plans for institutional clients.

Jonathan received a Bachelor of Business Administration degree in actuarial science from the Fox School of Business and Management at Temple University and is a Fellow of the Society of Actuaries and Chartered Financial Analyst charter holder.

Luke Oliver
Managing Director

Luke Oliver, Managing Director, Head of Climate Investments, and Head of Strategy leads the KraneShares Climate Solutions business building innovative products that provide access to unique markets within this growing investment universe. In addition to curating the firm's climate, carbon, and impact strategies, Luke works closely with clients to educate them on the asset class and client implementation strategies. He is a regular industry commentator on TV, press, and online media and is responsible for digestible research on climate topics.

Prior to joining KraneShares, Luke built and ran the $21bn US XTrackers ETF business at DWS (formerly Deutsche Asset Management) where he pioneered currency hedging, onshore China, and more recently ESG strategies which were among the first to recognize the need for value-aligned portfolios. Prior to this, he was the portfolio manager of $16bn in commodity assets across the PowerShares DB Commodity ETF suite. Luke has a reputation for building strong, diverse teams, creating profitable businesses, and bringing innovative solutions to market. His 20-year financial career also included time on the capital markets sell-side. This diverse experience has allowed Luke to identify the most powerful emerging trends in the investment landscape.

“Pricing carbon emissions and decarbonizing the global economy is the single greatest challenge, and opportunity, we will ever be presented with. I have no doubt this will be our mission for the next 20 years and it must not fail.”

James Maund
Head of Capital Markets

James Maund joined KraneShares as head of Capital Markets in January 2020. James has more than 15 years of experience in ETF trading and capital markets.

Prior to joining KraneShares, James was a Vice President in the Institutional ETF Group / ETF Capital Markets Group at State Street Global Advisors. He has extensive connectivity within the ETF trading ecosystem including market makers, brokers, and exchanges. He is a seasoned speaker at industry events, conferences, and forums on topics related to ETFs, liquidity, options, equity market structure, and trading.

Prior to State Street, James was an ETF trader at Goldman Sachs & Co. His primary responsibility was as a specialist/market maker for Exchange Traded Funds on the floor of the New York Stock Exchange, and later on the American Stock Exchange and in the firm’s Index Arbitrage group.

James earned a Bachelor’s degree in Economics from Wesleyan University in Middletown, CT. He holds FINRA series 7, 63, and 55 licenses.

Brian Rista

Director and Client Service

Brian Rista joined KraneShares in 2017 as Director, Client Service. He is responsible for leading the internal sales team's efforts in increasing sales of KraneShares' China-focused ETFs.

In his role as Director of Client Service, Mr. Rista focuses on building out distribution channels to wirehouses, independent broker-dealers, RIAs, institutions, and banks.

Prior to joining KraneShares, Brian was a financial advisor at an RIA providing clients with personalized wealth management solutions. Mr. Rista was also a Sales Associate at an asset manager specializing in separately managed accounts, mutual funds, and ETFs.

Brian attended the College of Charleston where he received his BA in Communications with concentrations in finance and media studies.